

# UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/681,545	04/26/2001	Dennis P. Bobay	03-DV-7090 9760		
23465 7	590 07/25/2002				
JOHN S. BEULICK C/O ARMSTRONG TEASDALE, LLP ONE METROPOLITAN SQUARE SUITE 2600 ST LOUIS, MO 63102-2740			EXAMINER		
			CUEVAS, PEDRO J		
			ART UNIT	PAPER NUMBER	
			2834		
			DATE MAILED: 07/25/2002		

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary		Application No.		Applicant(s)				
		09/681,545		BOBAY ET AL.				
		Examiner		Art Unit				
		Pedro J. Cuevas		2834				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address							
Period for Reply								
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status								
1)⊠	Responsive to communication(s) filed on 20 M	May 2002 .						
2a)⊠	_	is action is non-f	inal.					
3)	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Disposition of Claims								
,	Claim(s) <u>5-16</u> is/are pending in the application							
	4a) Of the above claim(s) is/are withdrav	wn from conside	ation.					
	Claim(s) is/are allowed.							
	Claim(s) <u>5-16</u> is/are rejected.							
	Claim(s) is/are objected to.							
	Claim(s) are subject to restriction and/or on Papers	r election require	ement.					
	The specification is objected to by the Examine							
10) 🗌 -	The drawing(s) filed on is/are: a)□ accep		-					
	Applicant may not request that any objection to the		· · · · · · · · · · · · · · · · · · ·	` '				
11)  <u> </u>	The proposed drawing correction filed on 20 Ma			sapproved by the	Examiner.			
If approved, corrected drawings are required in reply to this Office action.								
12) The oath or declaration is objected to by the Examiner.								
	inder 35 U.S.C. §§ 119 and 120							
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a)[	☐ All b)☐ Some * c)☐ None of:							
1. Certified copies of the priority documents have been received.								
2. Certified copies of the priority documents have been received in Application No								
<ul> <li>Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>								
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).								
a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.								
Attachment(s)								
2) Notice	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449) Paper No(s) _	4) 5) 6)	Notice of Informal	y (PTO-413) Paper No Patent Application (P				

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### **DETAILED ACTION**

## Response to Arguments

1. Applicant's arguments with respect to claims 5-16 have been considered but are moot in view of the new ground(s) of rejection.

#### Election/Restrictions

- 2. This application contains claims 1-4 drawn to an invention nonelected with traverse in Paper No. 4. A complete reply to the final rejection must include cancelation of nonelected claims or other appropriate action (37 CFR 1.144) See MPEP § 821.01.
- 3. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

### Claim Rejections - 35 USC § 112

- 4. The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 5. Claims 8 and 14 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for a rotor cup having an annular flange which can be modified for balance by removing the material of which it consists, does not reasonably provide enablement for a removable annular flange. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make the invention

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commensurate in scope with these claims. Removing portions of the material, which make the claimed annular flange by any known process, does not make the flange removable.

## Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 5-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,986,379 to Hollenbeck et al. in view of common knowledge in the art.

Hollenbeck et al. clearly teaches the construction of a rotor cup assembly for an electric motor, said rotor cup assembly (230) comprising a housing comprising:

a top,

a bottom,

a sidewall (232) extending circumferentially from said top and having a first diameter, said sidewall and said top defining a cavity, and

an annular flange (added by the examiner) extending circumferentially from said sidewall and having a first diameter, a second diameter, and a first thickness, said first diameter less than said second diameter.

However, it fails to disclose an annular flange for strengthening said sidewall.

It should be emphasized that "apparatus claims must be structurally distinguishable from the prior art." MPEP 2114. <u>In re Danly</u>, 263 F. 2d 844, 847, 120 USPQ 528, 531 (CCPA 1959) it was held that apparatus claims must be distinguished from prior art in terms of structure rather

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than function. In Hewlett-Packard Co v Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990), the court held that: "Apparatus claims cover what a device is, not what it does." (emphases in original). To emphasize the point further, the court added: "An invention need not operate differently than the prior art to be patentable, but need only be different" (emphases in original). That is, in an apparatus claim, if a prior art structure discloses all of the structural elements in the claim, as well as their relative juxtaposition, then it reads on the claim, regardless of whether or not the function for which the prior art structure was intended is the same as that of the claimed invention.

8. With regards to claim 6, 7, 9 and 10 Hollenbeck et al. discloses a rotor cup assembly, wherein:

said annular flange is configured to have an edge, said edge outwardly flared from said sidewall by an angle  $\Phi$ ;

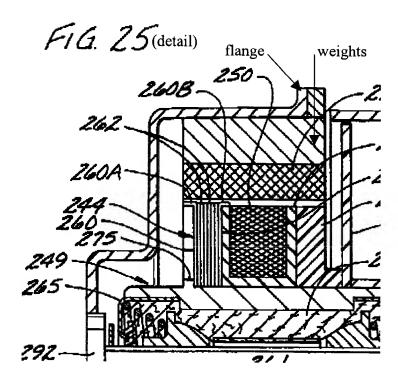
said annular flange configured to receive a plurality of weights (added by the examiner) to facilitate a desired level of rotor balance;

said annular flange second diameter greater than said housing sidewall first diameter;

said annular flange provides a smooth surface for pressing an item into said rotor cup;

as shown in Figure 25.

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9. With regards to claim 8, Hollenbeck et al. discloses the claimed invention except for a portion of the annular flange being removable for facilitating rotor balance. It would have been obvious to one having ordinary skill in the art at the time the invention was made to make the flange removable, since it has been held that constructing a formerly integral structure in various elements involves only routine skill in the art. Nerwin v. Erlichman, 168 USPQ 177, 179.

It should be emphasized that "apparatus claims must be structurally distinguishable from the prior art." MPEP 2114. In re Danly, 263 F. 2d 844, 847, 120 USPQ 528, 531 (CCPA 1959) it was held that apparatus claims must be distinguished from prior art in terms of structure rather than function. In Hewlett-Packard Co v Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990), the court held that: "Apparatus claims cover what a device is, not what it does." (emphases in original). To emphasize the point further, the court added: "An

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invention need not operate differently than the prior art to be patentable, but need only be different" (emphases in original). That is, in an apparatus claim, if a prior art structure discloses all of the structural elements in the claim, as well as their relative juxtaposition, then it reads on the claim, regardless of whether or not the function for which the prior art structure was intended is the same as that of the claimed invention.

10. Claims 11-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent No. 5,986,379 to Hollenbeck et al. in view of U.S. Patent No. 6,051,900 to Yamaguchi, further in view of common knowledge in the art.

Hollenbeck et al. discloses the construction of an electric motor as described above.

However, it fails to disclose a rotor shaft extending through said rotor cup, and an annular flange for strengthening said sidewall.

Yamaguchi teaches the construction of a flat coreless vibration motor having a rotor shaft (2) extending through a bracket (1) for the purpose of supporting and physically connecting the eccentric rotor (3) to the bracket.

It would have been obvious to one skilled in the art at the time the invention was made to use the rotor shaft extending through a rotor cup as disclosed by Yamaguchi on the electric motor disclosed by Hollenbeck et al. for the purpose of supporting and physically connecting the eccentric rotor (3) to the bracket.

It should also be emphasized that "apparatus claims must be structurally distinguishable from the prior art." MPEP 2114. In re Danly, 263 F. 2d 844, 847, 120 USPQ 528, 531 (CCPA 1959) it was held that apparatus claims must be distinguished from prior art in terms of structure rather than function. In Hewlett-Packard Co v Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15

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USPQ2d 1525, 1528 (Fed. Cir. 1990), the court held that: "Apparatus claims cover what a device is, not what it does." (emphases in original). To emphasize the point further, the court added: "An invention need not operate differently than the prior art to be patentable, but need only be different" (emphases in original). That is, in an apparatus claim, if a prior art structure discloses all of the structural elements in the claim, as well as their relative juxtaposition, then it reads on the claim, regardless of whether or not the function for which the prior art structure was intended is the same as that of the claimed invention.

11. With regards to claims 12 and 16, Yamaguchi discloses:

a rotor cup top including an opening (1a) sized to accept said rotor shaft; and an electric motor comprising an inside-out motor comprising an external rotor having magnetic elements (6) mounted on said rotor and said stator located inside the magnetic elements.

12. With regards to claim13 and 15, Hollenbeck et al. discloses a rotor cup:

configured to receive a plurality of weights (added by the examiner) to facilitate a desired level of rotor balance; and

wherein said annular flange second diameter is greater than said housing sidewall first diameter;

as shown in Figure 25.

13. With regards to claim 14, discloses the claimed invention except for a portion of the annular flange being removable for facilitating rotor balance. It would have been obvious to one having ordinary skill in the art at the time the invention was made to make the flange removable,

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since it has been held that constructing a formerly integral structure in various elements involves only routine skill in the art. Nerwin v. Erlichman, 168 USPQ 177, 179.

14. It should be emphasized that "apparatus claims must be structurally distinguishable from the prior art." MPEP 2114. In re Danly, 263 F. 2d 844, 847, 120 USPQ 528, 531 (CCPA 1959) it was held that apparatus claims must be distinguished from prior art in terms of structure rather than function. In Hewlett-Packard Co v Bausch & Lomb Inc., 909 F.2d 1464, 1469, 15 USPQ2d 1525, 1528 (Fed. Cir. 1990), the court held that: "Apparatus claims cover what a device is, not what it does." (emphases in original). To emphasize the point further, the court added: "An invention need not operate differently than the prior art to be patentable, but need only be different" (emphases in original). That is, in an apparatus claim, if a prior art structure discloses all of the structural elements in the claim, as well as their relative juxtaposition, then it reads on the claim, regardless of whether or not the function for which the prior art structure was intended is the same as that of the claimed invention.

#### Conclusion

- 15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO-892.
- 16. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

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the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pedro J. Cuevas whose telephone number is (703) 308-4904. The examiner can normally be reached on M-F from 8:30 - 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nestor R. Ramírez can be reached on (703) 308-1371. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-1341 for regular communications and (703) 305-3432 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

Pedro J. Cuevas July 16, 2002

VESTOR RAMBEZ EUTOVER METALET EMANIMER TACHARLEY CENNER 2800